# <span id="page-0-0"></span>Lossless Data Compression on Unbounded Integers

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31 July 2019



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- Formulate compression algorithms that can be applied to the set of unbounded integers using symbol compression techniques
- Prove the universality and asymptotic optimality of these algorithms
- Demonstrate the practical advantages of using these algorithms on sets of integers

A lossless data compression algorithm is one that encodes input into codewords that requires less storage and loses no information.

### Definition

Entropy is a theoretical lower bound on the performance of a lossless compression algorithm. It is given by a function of the PMF (probability mass function) as shown:

$$
H(P) = -\sum_i P_i \log P_i
$$

A fixed-length code (FLC) is one that uses a fixed number of bits to represent a symbol or integer - even if some bits are not necessary, they will be padded.

### Definition

A variable-length code (VLC) is one that uses a variable number of bits to represent a symbol or integer, following the heuristic that higher-probability symbols have shorter codes and vice versa.

## Definition

A code is universal if the average code length is bounded by a multiple of the entropy, so

$$
\frac{E_P(L_\rho)}{\max\{1, H(P)\}} \leq K_\rho.
$$

### Definition

A code is asymptotically optimal if the ratio between the average code length and the entropy is bounded by a function of entropy that converges to 1, so

$$
\frac{E_P(L_\rho)}{\max\left\{1, H(P)\right\}} \leq R_\rho(H(P)) \leq K_\rho
$$

with

$$
\lim_{H\to\infty}R_{\rho}(H)=1.
$$

In *Elias-* $\gamma$  coding for integers, if the length of the binary representation of an integer x is N bits, then we prepend  $N-1$  zeroes with the binary representation of that integer.

$$
17 = \underbrace{0.0000}_{N-1 \text{ zeroes}} \underbrace{100001}_{1 \text{ 0.001}}
$$

In Elias- $\delta$  coding for integers, if the length of the binary representation of an integer x is N bits, then we prepend the Elias- $\gamma$  encoding of N to the last  $N - 1$  bits of the binary representation of x.

$$
17 = \underbrace{0 \ 0 \ 1 \ 0 \ 1}_{\gamma(N)} \overbrace{0 \ 0 \ 0 \ 0 \ 1}^{N-1 \ bits}
$$

## Definition

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In Shannon-Fano-Elias (SFE) coding for a fixed set of symbols, where a source probability distribution is known, codewords for symbols are generated as the first  $\left\lceil \log_2\frac{1}{\rho(s)}\right\rceil$  $\left\lceil \frac{1}{\rho(x)}\right\rceil+1$  bits to the right of the decimal point in the binary form of

$$
P(x) = \sum_{x_0 < x} P(x_0) + \frac{1}{2}P(x)
$$

- Useful for encryption compared to other compression techniques due to different codewords for different symbol permutations
- The average code length is bounded between  $1 + H(P)$  and  $2 + H(P)$
- Only usable when source probability distribution is known

#### Theorem

Given initially empty set AT (already transmitted), a symbol NYT (not yet transmitted) with constant probability  $\frac{1}{n+1}$ , where n is the number of symbols processed so far, and the FLC  $R(x)$  for the symbol, Adaptive-SFE is defined as follows,

1. Encode the symbol as  $E(x)$ , where:

$$
E(x) = \begin{cases} SFE(NYT) + R(x) & x \notin AT \\ SFE(x) & x \in AT \end{cases}
$$

- 2. Update AT and our probability distribution with the symbol in consideration.
	- Does not require a predetermined PMF

#### Theorem

Canonical δ-SFE is defined as follows:

$$
C\delta\text{-}SFE(x) = \begin{cases} SFE(NYT) + \delta(x) & x \notin AT \\ SFE(x) & x \in AT \end{cases}
$$

The probability of the NYT element is kept constant at  $\frac{1}{n+1}$  where n is the number of symbols processed.

#### Theorem

Canonical δ-SFE is universal, but not asymptotically optimal.

#### Theorem

Increment  $\delta$ -SFE follows the same encoding scheme of canonical  $\delta$ -SFE, so

$$
I\delta\text{-}SFE(x) = \begin{cases} SFE(NYT) + \delta(x) & x \notin AT \\ SFE(x) & x \in AT \end{cases}
$$

However, the probability of the NYT element is incremented by a constant k for every occurrence of a new symbol.

#### Theorem

Increment  $\delta$ -SFE is both universal and asymptotically optimal.

#### Theorem

Flagged  $\delta$ -SFE uses a binary flag to differentiate between occurrences of new or repeated symbols and is defined as follows:

$$
\mathsf{F}\delta\text{-}\mathsf{SFE}(x) = \begin{cases} \delta(x+1) & x \notin \mathsf{AT} \\ 1 + \mathsf{SFE}(x) & x \in \mathsf{AT} \end{cases}
$$

### Theorem

Flagged  $\delta$ -SFE is both universal and asymptotically optimal.

 $\gamma$ -RNS is a combination of the Elias- $\gamma$  encoding scheme and the Residue Number System.

#### Theorem

Given an integer j, the primes  $p_1 = 2$ ,  $p_2 = 3$ , ...  $p_k$  are used such that k is the minimum integer that satisfies  $\prod_{n=1}^k p_k > j$ . The residues  $j$  mod  $p_n$ are then calculated and expressed in binary. To ensure uniform lengths, we prepend 0s to the binary representation of each residue  $r_n$  until the length of each binary representation reaches  $\lceil \log_2 p_n \rceil$ . Then, we prepend k – 1 0s and a 1 to the start of the bitstring.

δ-RNS is a combination of the Elias-δ encoding scheme and the Residue Number System.

#### Theorem

Taking the binary representation of the residues (generated using the same method as for  $\gamma$ -RNS), we instead prepend the binary representation k of the length of the residues  $+1$ . Then, we prepend the unary representation in 0s of the length of  $k - 1$ .

Bit Rate

- All data sets consist of 10,000 integers following some distribution
- Data Set 1: geometric PMF distributions with  $p = 0.1, 0.01$
- Data Set 2: Poisson distribution with  $\lambda = 128$
- Data Set 3: pseudo-randomly generated integers from 1 to 1000
- Compare with entropy and Elias- $\delta$

 $\delta$ -RNS independent testing

- $\delta$ -RNS creates codes of individual symbols
- Depicts performance on integers up to  $2^{32}$
- Compare with  $\gamma$ -RNS and Elias- $\delta$

Data Set (1a)



Data Set (2)







Data Set (1b)

10.745





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- $\bullet$   $\delta$ -SFE versions operate at high compression ratios near entropy for unbounded integers
- Applications of prime numbers to compression yield high efficiency gains, especially for large integers
- Investigate similar applications of Elias- $\delta$  to other symbol compression techniques such as arithmetic coding
- Applications
	- $\triangleright$  Compression of inverse indexes used by databases and search engines
	- $\triangleright$  Extension of super-exponential decryption time of SFE to infinite alphabets
	- **Efficient operations on large integers represented in**  $\delta$ **-RNS**

We would like to thank:

Dr. Dan Tamir for his support and encouragement,

2019 HSMC Mathworks for support,

Mathworks research coordinators Jenny Lu, Danika Luo, and Eric Wu, for their feedback,

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and the audience for their kind attention.